

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
25 April 2002 (25.04.2002)

PCT

(10) International Publication Number  
**WO 02/32455 A2**

(51) International Patent Classification<sup>7</sup>: **A61K 39/39**,  
C12Q 1/02, A61K 39/04

(21) International Application Number: PCT/GB01/04572

(22) International Filing Date: 15 October 2001 (15.10.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
0025694.1 19 October 2000 (19.10.2000) GB

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(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— without international search report and to be republished upon receipt of that report

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: **VACCINE**

(57) Abstract: The present invention relates to vaccine compositions comprising a Th2 inducing antigen, and optionally an adjuvant, wherein said optional adjuvant induces T-helper cell 1 (Th1). The invention further relates to methods for selecting antigens for use

WO 02/32455 A2

## Vaccine

### FIELD OF THE INVENTION

- 5 The present invention relates to vaccines against mycobacterial disease, and to the identification of antigen(s) useful in such vaccines.

### BACKGROUND TO THE INVENTION

- 10 Immunity to tuberculosis is mediated by T-helper 1 (Th1) lymphocytes which recognise antigens from *M. tuberculosis* and secrete cytokines including interleukin 2 (IL-2) and Interferon gamma (IFN $\gamma$ ). These cells activate macrophages and enhance formation of cytotoxic T cells which are the effector systems that lead to killing of the mycobacteria (Orme *et al.*, 1993; Orme *et al.*, 1993; Silver *et al.*, 1998; Stenger *et al.*,  
15 1998).

Prior art attempts to identify antigen(s) from *M. tuberculosis* that are suitable for use in vaccines rely on the selection criterion of the antigen(s) being able to evoke strong Th1 responses.

20

According to the prior art, lymphocytes from patients, immune individuals or immunised animals are cultured with fractionated or cloned antigens, and evidence of activation of Th1 cells is sought as an indication of their suitability for use as antigen(s) in a vaccine.

25

This evidence is usually sought by looking for production of IFN $\gamma$  (for example (Lindblad *et al.*, 1997))

- 30 There is clearly a need to identify antigens suitable for use in vaccines against *M. tuberculosis*. The prior art focusses on the the ability to evoke a Th1 response as an indicator of suitability for use in vaccines.

## SUMMARY OF THE INVENTION

The present invention is based on the surprising finding that progressive tuberculosis is attributable to the ability of *M. tuberculosis* to induce not only the dominant Th1  
5 response, but also a small response mediated by Th2 lymphocytes (including secretion of IL-4, IL-13) which, when superimposed upon the Th1 response, causes immunopathology, and impairs the bactericidal functions of the Th1 effector mechanisms.

10 The methods and vaccines of the present invention utilise this surprising finding. It enables the selection of antigens for incorporation into a vaccine by identifying those that tend to evoke a Th2 response. These are the antigens which are causing the immune response to malfunction.

15 According to the present invention, these antigens are identified and used in vaccine(s) in such a way as to pre-empt induction of a Th2 response when a subject subsequently meets them in/on *M. tuberculosis*.

Thus, the present invention relates to methods for identifying Th2-inducing antigens.  
20 The present invention further relates to vaccine formulations containing these Th2-inducing antigens.

## DETAILED ASPECTS OF THE PRESENT INVENTION

25 In one aspect, the present invention relates to a vaccine composition comprising a T-helper cell 2 (Th2) inducing antigen.

In another aspect, the present invention relates to a vaccine composition comprising a Th2 inducing antigen, and an adjuvant, wherein said adjuvant induces T-helper cell 1  
30 (Th1).

In another aspect, the present invention relates to a vaccine as described herein, wherein said adjuvant comprises IL-12.

In another aspect, the present invention relates to a vaccine as described herein,  
5 wherein said adjuvant comprises *Mycobacterium vaccae*, or a part thereof.

In another aspect, the present invention relates to the use of an adjuvant in a vaccine, wherein said adjuvant induces T-helper cell 1 (Th1).

10 In another aspect, the present invention relates to the use of an adjuvant in a vaccine, wherein said adjuvant comprises IL-12.

In another aspect, the present invention relates to the use of an adjuvant in a vaccine, wherein said adjuvant comprises *Mycobacterium vaccae*, or a part thereof.

15

In another aspect, the present invention relates to a method of identifying an antigen for use in a vaccine for mycobacterial disease, said method comprising providing a candidate antigen, providing a first and a second sample of peripheral blood mononuclear cells (PBMCs), contacting said antigen with said first sample of PBMCs,  
20 monitoring the expression levels of IL-4 in said first and second samples of PBMCs, and comparing said expression levels of IL-4 in the two samples, wherein antigens inducing raised expression of IL-4 in the first sample of PBMCs as compared to the levels of expression of IL-4 in the second (untreated) sample of PBMCs are identified as useful in said vaccine.

25

In another aspect, the present invention relates to a method of identifying an antigen for use in a vaccine for mycobacterial disease, said method comprising providing a candidate antigen, providing a first and a second sample of peripheral blood mononuclear cells (PBMCs), contacting said antigen with said first sample of PBMCs,  
30 monitoring the expression of CD30 in said first and second samples of PBMCs, and comparing said expression levels of CD30 in the two samples, wherein antigens inducing raised expression of CD30 in the first sample of PBMCs as compared to the

levels of expression of CD30 in the second (untreated) sample of PBMCs are identified as useful in said vaccine.

5 In another aspect, the present invention relates to a method of identifying an antigen for use in a vaccine for mycobacterial disease as described herein, wherein the mycobacterial agent comprises *M.tuberculosis*.

10 In another aspect, the present invention relates to an antigen identified using a method as described herein.

In another aspect, the present invention relates to a vaccine comprising an antigen identified as described herein.

15 In another aspect, the present invention relates to a pharmaceutical composition comprising an antigen as described herein, and optionally a pharmaceutically acceptable carrier, diluent or excipient.

In another aspect, the present invention relates to a method for immunising a subject comprising administering a vaccine as described herein.

20 For ease of reference, these and further aspects of the present invention are now discussed under appropriate section headings. However, the teachings under each section are not necessarily limited to each particular section.

## 25 PREFERABLE ASPECTS

Preferably, the adjuvant(s) used in the vaccines of the present invention is capable of inducing Th1. Preferably, said adjuvant may be IL-12.

30 Preferably, the antigen(s) used in the vaccines of the present invention are capable of inducing a Th2 response.

Preferably, the antigens used in the vaccines of the present invention are capable of inducing IL-4 mRNA and/or IL-4 dependent CD30 expression in normal human mononuclear cells *in vitro*.

- 5 Preferably, the invention relates to vaccine(s) that contain Th2-inducing antigen(s) in Th1-inducing formulation(s).

#### ADVANTAGES

- 10 It is an advantage of the present invention that immunological responses are evoked against Th2-inducing antigens.

It is an advantage of the present invention that antigens may be selected for use in vaccines according to their ability to induce a Th2 response.

15

#### TUBERCULOSIS

Tuberculosis is caused by a mycobacterial agent. The term 'mycobacterial agent' as used herein includes the *M.tuberculosis* bacterium.

20

In patients with progressive tuberculosis there is not only a Th1 lymphocyte response, but as disclosed herein, there is clear evidence of an inappropriate Th2 response, involving lymphocytes that secrete type 2 cytokines, including interleukin 4 (IL-4) and interleukin 13 (IL-13).

25

The prior art indicates that this Th2 component is controversial, and its existence is disputed. A further prior art study found that *M. tuberculosis* caused increased expression of CD30 on human lymphocytes *in vitro*, but this document (Munk *et al.*, 1997) taught that the increased CD30 expression was attributed to an IL-4-independent pathway.

30

However, it is surprisingly disclosed herein that the increase in Th2 cytokine expression is significant. Furthermore, our disclosure that the CD30 expression is indeed IL-4-driven is unexpected.

## 5 IMMUNOLOGICAL RESPONSE TO MYCOBACTERIAL AGENTS

Both IL-4 and IL-13 mRNAs are expressed at significantly higher levels in fresh unstimulated peripheral blood mononuclear cells from tuberculosis patients (1.4 and 1.2 logs higher respectively) than in cells from matched tuberculin-positive controls.

10 The biological significance of this observation is indicated by significant correlations with radiologic extent of disease, and with a marker of increased type-2 cytokine activity *in vivo* -- serum IgE (Seah *et al.*, 2000). Previous uncertainty is attributable to methodological difficulties in the prior art, and lack of awareness of an IL-4 splice variant, IL-4 $\delta$ 2. This observation has been confirmed using a flow cytometric

15 technique.

Furthermore, it is shown that there are components of *M. tuberculosis* that will drive a Th2 component in the *in vitro* lymphocyte response of peripheral blood mononuclear cells from normal healthy donors. These components are either not present in a

20 control organism, *M. vaccae*, or present at much lower levels. Clearly, such components are examples of antigens useful in the present invention.

This Th2 component of the response to *M. tuberculosis* can be measured *in vitro* in numerous ways, for example by assaying the increase in expression of CD30 on

25 lymphocytes, using flow cytometry, in the presence and in the absence of a neutralising antibody to IL-4. This facilitates the induction of expression of CD30 due to IL-4 to be assessed, without factors other than IL-4 which may contribute to the expression of CD30 adversely affecting the assay.

30 Another example of a way in which the Th2 component of the response to *M. tuberculosis* can be measured *in vitro* is by assaying expression of IL-4. This can be accomplished for example by extracting RNA from the cultured cells, and performing

a quantitative nested reverse transcription polymerase chain reaction (RT-PCR) for mRNA encoding IL-4. This is preferably done with primers such as those described in Seah and Rook (Seah & Rook, 1999), so that only mRNA encoding IL-4 is measured, and not that encoding IL-4 $\delta$ 2.

5

These techniques are discussed more fully below, such as in the Examples section.

### BIOLOGICAL ROLE OF THE TH2 COMPONENT

- 10 Without wishing to be bound by theory, it is believed that the following discussion assists in the illustration of the present invention.

If experimental animals are preimmunised with mycobacterial antigens in such a way that there is a Th2 response present, before challenge with virulent *M. tuberculosis*,  
15 there is accelerated disease and more rapid death than in entirely unimmunised animals (Hernandez-Pando *et al.*, 1997; Lindblad *et al.*, 1997). This may be least partly due to increased toxicity of tumour necrosis factor alpha (TNF $\alpha$ ) in mixed Th1/Th2 sites of mycobacterium-induced inflammation (Hernandez-Pando & Rook, 1994).

- 20 A similar increase in immunopathology and fibrosis is seen in other models of infection when there is a simultaneous Th2 component. Examples of such are schistosomiasis (Wynn *et al.*, 1995) and *Trichinella spiralis* (Lawrence *et al.*, 1998).

This is in good agreement with the observation that the extent of Th2 activation in  
25 human tuberculosis is directly related to the tissue damage and cavitation (Seah *et al.*, 2000; van Crevel *et al.*, 2000). As well as promoting immunopathology, the increased production of Th2 cytokines such as IL-4 and IL-10 causes decreased macrophage function and impairs bactericidal activity (Powrie *et al.*, 1993).

- 30 Thus, when a non-vaccinated subject encounters *M. tuberculosis*, the lymphocyte response is dominated by Th1 cells, but the Th2-inducing components simultaneously induce activation of some Th2 cells. If these become too numerous or active, the Th1



response cannot operate correctly as protective immunity. Therefore, vaccines according to the present invention advantageously inhibit these Th2-inducing components from inducing Th2, and preferably divert, bias or skew the response to them into Th1 mode.

5

## T HELPER CELLS

The term 'Th1' as used herein refers to a type 1 T-helper cell (Th1). The term may also be used herein to refer to the response mediated by or through such a cell type.

10 Such a response may include one or more of the secretion of Interleukin-2 (IL-2), the secretion of Interferon-gamma (IFN- $\gamma$ ), activation of macrophage, activation of cytotoxic T-cells, or any other Th1-associated event. Thus, the term 'Th1' may include Th1 cell(s) as well as the immune response(s) which such cell(s) produce.

15 The term 'Th2' as used herein refers to a type 2 T-helper cell (Th2). The term may also be used herein to refer to the response mediated by or through such a cell type. Such a response may include one or more of the secretion of Interleukin-4 (IL-4), the secretion of the splice variant interleukin IL-4 $\delta$ 2, the secretion of Interleukin-13 (IL-13), increase in levels of cell determinant 30 (CD30) on lymphocytes, increase in  
20 levels of Immunoglobulin-E (IgE) in the blood, or any other Th2-associated event. Thus, the term 'Th2' may include Th2 cell(s) as well as the immune response(s) which such cell(s) produce.

## VACCINES

The preparation of vaccines which contain one or more substances as an active ingredient(s), is known to one skilled in the art. Typically, such vaccines are prepared  
5 as injectables, either as liquid solutions or suspensions; solid forms suitable for solution in, or suspension in, liquid prior to injection may also be prepared. The preparation may also be emulsified, or the active ingredient(s) encapsulated in liposomes. The active ingredients are often mixed with excipients which are pharmaceutically acceptable and compatible with the active ingredient. Suitable  
10 excipients are, for example, water, saline, dextrose, glycerol, ethanol, or the like and combinations thereof.

In addition, if desired, the vaccine may contain minor amounts of auxiliary substances such as wetting or emulsifying agents and pH buffering agents.

15

## ADMINISTRATION

Typically, a physician will determine the actual dosage which will be most suitable for an individual subject and it will vary with the age, weight and response of the  
20 particular patient. The dosages below are exemplary of the average case. There can, of course, be individual instances where higher or lower dosage ranges are merited.

The compositions of the present invention may be administered by direct injection. The composition may be formulated for parenteral, mucosal, intramuscular,  
25 intravenous, subcutaneous, intraocular or transdermal administration. Typically, each protein may be administered at a dose of from 0.01 to 30 mg/kg body weight, preferably from 0.1 to 10 mg/kg, more preferably from 0.1 to 1 mg/kg body weight.

The term "administered" includes delivery by delivery mechanisms including injection,  
30 lipid mediated transfection, liposomes, immunoliposomes, lipofectin, cationic facial amphiphiles (CFAs) and combinations thereof, or even viral delivery. The routes for

such delivery mechanisms include but are not limited to mucosal, nasal, oral, parenteral, gastrointestinal, topical, or sublingual routes.

5 The term "administered" includes but is not limited to delivery by a mucosal route, for example, as a nasal spray or aerosol for inhalation or as an ingestible solution; a parenteral route where delivery is by an injectable form, such as, for example, an intravenous, intramuscular or subcutaneous route.

10 The term "co-administered" means that the site and time of administration of each of the antigen and/or antigenic determinants of the present invention and an additional entity such as an adjuvant(s) are such that the necessary modulation of the immune system is achieved. Thus, whilst the antigen and adjuvant may be administered at the same moment in time and at the same site, there may be advantages in administering the antigen and/or antigenic determinants at a different time and to a different site from  
15 the adjuvant. The antigen and/or antigenic determinants and adjuvant may even be delivered in the same delivery vehicle - and the antigen and/or antigenic determinants and adjuvant(s) may be coupled and/or uncoupled and/or genetically coupled and/or uncoupled.

20 The antigen, antigenic determinant, peptide or homologue or mimetic thereof may be administered separately or co-administered to the host subject as a single dose or in multiple doses.

25 The vaccine composition of the invention may be administered by a number of different routes such as injection (which includes parenteral, subcutaneous and intramuscular injection) intranasal, mucosal, oral, intra-vaginal, urethral or ocular administration. Preferably administration is by injection.

30 For vaccination the vaccine composition can be provided in 0.1 to 0.2 ml of aqueous solution, preferably physiological saline, and administered parenterally, for example by intradermal inoculation. The vaccine according to the invention is preferably injected intracutaneously. Slight swelling and redness, sometimes also itching may be

found at the injection site. The mode of administration, the dose and the number of administrations can be optimised by those skilled in the art in a known manner.

## ANTIGENS

5

As used herein, an "antigen" means an entity which, when introduced into an immunocompetent host, stimulates the production of a specific antibody or antibodies that can combine with the entity, and/or stimulates the relevant Th response, such as Th2. The antigen may be a pure substance, a mixture of substances or soluble or particulate material (including cells or cell fragments or cell sonicate). In this sense, the term includes any suitable antigenic determinant, cross reacting antigen, alloantigen, xenoantigen, tolerogen, allergen, hapten, and immunogen, or parts thereof, as well as any combination thereof, and these terms are used interchangeably throughout the text.

15

The term "antigenic determinant" as used herein refers to a site on an antigen which is recognised by an antibody or T-cell receptor, or is responsible for evoking the Th2 response. Preferably it is a short peptide derived from or as part of a protein antigen. However the term is also intended to include glycopeptides and carbohydrate epitopes.

20

The term also includes modified sequences of amino acids or carbohydrates which stimulate responses which recognise the whole organism.

It is advantageous if the antigenic determinant is an antigenic determinant of the infectious agent (such as a mycobacterium) which causes the infectious disease.

25

The present invention provides method(s) for identifying antigen(s) for use in protective and therapeutic vaccines against mycobacterial disease, particularly tuberculosis.

30

The term "identify" as used in relation to the identification of antigen(s) for use in vaccines according to the present invention, (or for use in the production of such vaccines), is understood to include selecting, validating, confirming, assaying, testing,

assessing or otherwise investigating candidate antigens and thereby determining their suitability for use in vaccine(s) according to the present invention. These techniques are further discussed herein.

- 5 A "protective" or "prophylactic" vaccine is a vaccine which is administered to naive individuals to prevent disease development, such as by stimulating active immunity.

- A "therapeutic" vaccine is a vaccine which is administered to individuals with an existing infection to reduce or minimise the infection or to abrogate the  
10 immunopathological consequences of the disease.

A suitable antigen according to the present invention preferably induces Th2 response(s).

- 15 A suitable antigen according to the present invention preferably has one or more of the following properties;
- capable of inducing IL-4 (interleukin-4)
  - capable of inducing CD30 (cell determinant 30)
  - capable of inducing IL-4 $\delta$ 2
  - 20 capable of inducing IL-13
  - capable of inducing IL-5
  - capable of inducing IL-10
  - capable of inducing IL-6
  - capable of inducing IgE (immunoglobulin E)
  - 25 capable of inducing IgG4 (immunoglobulin gamma 4)
  - capable of inducing other Th-2 associated response(s).

More preferably an antigen according to the present invention has one or more of the following properties;

- 30 capable of inducing IL-4 (interleukin-4)
- capable of inducing CD30 (cell determinant 30)
  - capable of inducing IL-4 $\delta$ 2

capable of inducing IL-13

capable of inducing IL-5

capable of inducing IgE (immunoglobulin E)

capable of inducing IgG4 (immunoglobulin gamma 4)

5 capable of inducing other Th-2 associated response(s).

More preferably an antigen according to the present invention has one or more of the following properties;

capable of inducing IL-4 (interleukin-4)

10 capable of inducing CD30 (cell determinant 30)

capable of inducing IgE (immunoglobulin E)

capable of inducing IgG4 (immunoglobulin gamma 4)

capable of inducing other Th-2 associated response(s).

15 More preferably an antigen according to the present invention has one or more of the following properties;

capable of inducing IL-4 (interleukin-4)

capable of inducing CD30 (cell determinant 30)

capable of inducing other Th-2 associated response(s).

20

These properties may be tested according to methods discussed herein, for example by monitoring the effects of candidate antigen(s) on normal human mononuclear cells *in vitro*.

25 Thus, the suitability of a candidate antigen may be assessed by measurement of IL-4 mRNA levels in response to contacting normal human mononuclear cells with candidate antigen(s) *in vitro*. Candidate antigens inducing increased IL-4 mRNA levels are suitable for use in vaccines according to the invention.

30 The suitability of a candidate antigen may be assessed by measurement of CD30 expression in response to contacting normal human mononuclear cells with candidate

antigen(s) *in vitro*. Candidate antigens inducing increased CD30 levels are suitable for use in vaccines according to the invention.

5 The suitability of a candidate antigen may be assessed by measurement of IL-4 dependent CD30 expression in response to contacting normal human mononuclear cells with candidate antigen(s) *in vitro*. This may be accomplished for example by subtracting CD28 mediated CD30 expression produced via CD80, CD86 by using CTLA-4/Fc protein, which inhibits CD28/CD80/CD86 induced expression of CD30, and allows an assessment of the increase in CD30 expression which is attributable to  
10 or dependent on IL-4 signalling. As an alternative to using CTLA-4/Fc, neutralising anti-IL-4 antibody may be used to determine the IL-4 induced CD30 expression. Candidate antigens inducing increased IL-4 dependent CD30 levels are suitable for use in vaccines according to the invention.

15 The suitability of a candidate antigen may be assessed by measurement of IL-10 production in response to contacting normal human mononuclear cells with candidate antigen(s) *in vitro*. Candidate antigens inducing increased IL-10 levels are suitable for use in vaccines according to the invention.

20 The suitability of a candidate antigen may be assessed by measurement of IL-13 production in response to contacting normal human mononuclear cells with candidate antigen(s) *in vitro*. Candidate antigens inducing increased IL-13 levels are suitable for use in vaccines according to the invention.

25 The suitability of a candidate antigen may be assessed by measurement of IL-4 $\delta$ 2 production in response to contacting normal human mononuclear cells with candidate antigen(s) *in vitro*. Candidate antigens inducing increased IL-4 $\delta$ 2 levels are suitable for use in vaccines according to the invention.

30 The suitability of a candidate antigen may be assessed by measurement of IgE production in response to introduction of candidate antigen(s) into an immune system such as by inoculation of a test subject such as a mammalian test subject such as a

mouse. Candidate antigens inducing increased IgE levels are suitable for use in vaccines according to the invention.

5 The suitability of a candidate antigen may be assessed by measurement of levels of any other suitable Th2 marker in response to candidate antigen(s). Candidate antigens inducing increased Th2 response(s) are suitable for use in vaccines according to the invention.

10 Preferred antigens according to the present invention are those that induce IL-4 mRNA and/or IL-4 dependent CD30 expression in normal human mononuclear cells *in vitro*.

*M. tuberculosis* sonicate (MtbS) serves as an example of an antigen according to the present invention.

## 15 ADJUVANTS

The term 'adjuvant' has its normal meaning as used herein, ie. an entity capable of augmenting or participating in the influencing of an immune response. An adjuvant is any substance or mixture of substances that assists, increases, modifies or diversifies the immune response to an antigen. The adjuvant substances may include polypeptides as discussed herein, for example an adjuvant of the present invention may be a polypeptide based molecule or mimetic thereof which itself stimulates an immune response. This is discussed in more detail below. Preferred adjuvants are IL-12, and/or *M.vaccae* and/or Th1 inducing entities.

25

The vaccine compositions of the present invention may comprise one or a combination of adjuvants which enhance the effectiveness of the vaccine. Examples of additional adjuvants which, may be effective include but are not limited to: aluminum hydroxide, aluminum phosphate, aluminum potassium sulfate (alum), beryllium sulfate, silica, kaolin, carbon, water-in-oil emulsions, oil-in-water emulsions, muramyl dipeptide, bacterial endotoxin, lipid X, *Corynebacterium parvum* (*Propionobacterium acnes*), *Bordetella pertussis*, *Mycobacterium vaccae*, polyribonucleotides, sodium alginate,

30



lanolin, lysolecithin, vitamin A, interleukins such as interleukin-12, saponin, liposomes, levamisole, DEAE-dextran, blocked copolymers or other synthetic adjuvants. Such adjuvants are available commercially from various sources, for example, Merck Adjuvant 65 (Merck and Company, Inc., Rahway, N.J.) or Freund's  
5 Incomplete Adjuvant and Complete Adjuvant (Difco Laboratories, Detroit, Michigan). Only aluminum hydroxide is approved for human use.

In a preferred aspect, the present invention relates to antigen(s) mixed with a Th1-inducing adjuvant. Examples of such adjuvants include IL-12, *M.vaccae*, and are  
10 discussed herein.

In a preferred aspect, the present invention relates to vaccine that contains one or more Th2-inducing antigen(s) in a Th1-inducing formulation.

15 Th1-inducing adjuvant could be IL-12, or *Mycobacterium vaccae* or part(s) thereof such as sonicate, cell extract, whole cells or analogous material. 'Th1-inducing adjuvant' may comprise one or more other adjuvant(s) with Th1-inducing properties.

Thus, the present invention relates to a Th1-inducing adjuvant.  
20

In a preferred embodiment, the present invention relates to a vaccine that contains Th2-inducing antigens in a Th1-inducing adjuvant formulation.

Examples of such a vaccine composition include a Th2 inducing antigen mixed with a  
25 Th1-inducing adjuvant which adjuvant could be IL-12, or *Mycobacterium vaccae* or other adjuvant with Th1-inducing properties.

#### PHARMACEUTICAL COMPOSITIONS

30 The present invention also provides a pharmaceutical composition comprising a therapeutically effective amount of the agent of the present invention (such as vaccine

and/or adjuvant composition(s) as discussed herein) and a pharmaceutically acceptable carrier, diluent or excipients (including combinations thereof).

5 The pharmaceutical composition may comprise two components - wherein a first component comprises antigen and a second component which comprises adjuvant thereof. The first and second component may be delivered sequentially, simultaneously or together, and even by different administration routes.

10 The pharmaceutical compositions may be for human or animal usage in human and veterinary medicine and will typically comprise any one or more of a pharmaceutically acceptable diluent, carrier, or excipient. Acceptable carriers or diluents for therapeutic use are well known in the pharmaceutical art, and are described, for example, in Remington's Pharmaceutical Sciences, Mack Publishing Co. (A. R. Gennaro edit. 1985). The choice of pharmaceutical carrier, excipient or diluent can be selected with  
15 regard to the intended route of administration and standard pharmaceutical practice. The pharmaceutical compositions may comprise as - or in addition to - the carrier, excipient or diluent any suitable binder(s), lubricant(s), suspending agent(s), coating agent(s), solubilising agent(s).

20 Preservatives, stabilizers, dyes and even flavoring agents may be provided in the pharmaceutical composition. Examples of preservatives include sodium benzoate, sorbic acid and esters of p-hydroxybenzoic acid. Antioxidants and suspending agents may be also used.

25 There may be different composition/formulation requirements dependent on the different delivery systems. By way of example, the pharmaceutical composition of the present invention may be formulated to be delivered using a mini-pump or by a mucosal route, for example, as a nasal spray or aerosol for inhalation or ingestible solution, or parenterally in which the composition is formulated by an injectable form,  
30 for delivery, by, for example, an intravenous, intramuscular or subcutaneous route. Alternatively, the formulation may be designed to be delivered by both routes. Preferably the formulation is of injectable form.

Where the agent is to be delivered mucosally through the gastrointestinal mucosa, it should be able to remain stable during transit through the gastrointestinal tract; for example, it should be resistant to proteolytic degradation, stable at acid pH and  
5 resistant to the detergent effects of bile.

Where appropriate, the pharmaceutical compositions can be administered by inhalation, in the form of a suppository or pessary, topically in the form of a lotion, solution, cream, ointment or dusting powder, by use of a skin patch, orally in the form  
10 of tablets containing excipients such as starch or lactose, or in capsules or ovules either alone or in admixture with excipients, or in the form of elixirs, solutions or suspensions containing flavouring or colouring agents, or they can be injected parenterally, for example intravenously, intramuscularly or subcutaneously. For  
parenteral administration, the compositions may be best used in the form of a sterile  
15 aqueous solution which may contain other substances, for example enough salts or monosaccharides to make the solution isotonic with blood. For buccal or sublingual administration the compositions may be administered in the form of tablets or lozenges which can be formulated in a conventional manner.

## 20 PHARMACEUTICAL COMBINATIONS

The agent of the present invention may be administered with one or more other pharmaceutically active substances. By way of example, the present invention covers the simultaneous, or sequential treatments with an agent according to the present  
25 invention and one or more steroids, analgesics, antivirals or other pharmaceutically active substance(s).

It will be understood that these regimes include the administration of the substances sequentially, simultaneously or together.

30

The present invention will now be described, by way of example only, in which reference will be made to the following figures:

Figure 1, which shows graphs,  
Figure 2, which shows graphs,  
Figure 3, which shows a graph,  
5 Figure 4, which shows graphs,  
Figure 5, which shows graphs, and  
Figure 6, which shows dot plots.

These figures are described in more detail below in the following Example sections.

10

## EXAMPLES

15 1) *M. tuberculosis* sonicate drives increased expression of IL-4 mRNA in normal human peripheral blood mononuclear cells *in vitro*. (Fig 1)

Peripheral blood mononuclear cells were cultured *in vitro* with ultrasonically disrupted *M. vaccae* (MvacS 50 µg/ml) or *M. tuberculosis*, (MtbS 50 µg/ml).  
20 Control cells were incubated in medium only. On the indicated days replicate wells were harvested and the mRNA was extracted. The copy number of mRNA encoding IL-4 was assayed as described in detail (Seah & Rook, 1999).

### Results

25 *M. tuberculosis* induced significantly more IL-4 mRNA than did *M. vaccae* and this was biphasic, with peaks at 24 hrs and 7 days.

Figure 1. IL-4 mRNA expression in response to mycobacterial sonicates.

A. IL-4 mRNA expression (by RT-PCR) in NAC cultured for varying time points with *M. tuberculosis* sonicate (50 µg/ml). This figure represents mean results of duplicate experiments performed with cells of one donor (<5% difference between duplicate results). Since the highest IL-4 mRNA levels were measured at 24 h, this time point was used for the next experiment (shown in B) to compare results from cells of three other donors.

B. IL-4 mRNA expression in NAC harvested after 24 h culture with culture medium alone or with either of the mycobacterial sonicates. MtbS-stimulated cells expressed significantly higher levels of IL-4 mRNA than unstimulated ( $p=0.0004$ ) or MvacS-stimulated cells ( $p=0.0005$ ). Statistics by t-test for independent samples. Duplicate experiments were performed using cells for each donor and the results represent the means and 2 SD of data from three different donors. Where error bars are not shown, the error values fall within the symbols.

2) The greater induction of IL-4 mRNA by *M. tuberculosis* is not secondary to a greater overall proliferative effect (Fig 2).

The lymphoproliferative response evoked in normal human peripheral blood mononuclear cells by MvacS (50 µg/ml), MtbS (50 µg/ml) or culture medium alone, was assessed by H3-thymidine incorporation.

### Result

The two mycobacterial sonicate preparations did not differ in their overall stimulation of the cells. Therefore the greater induction of IL-4 mRNA by the MtbS is *qualitative* difference in its immunological properties.

Figure 2. Lymphocyte proliferation in response to MvacS (50 µg/ml), MtbS (50 µg/ml) or culture medium alone. The difference in proliferative responses to the two mycobacterial sonicates was not significant at any time point ( $p > 0.1$  by t-test for

independent samples). The results represent means and 2 SD of data from triplicate wells in one experiment which is representative of three separate experiments showing similar results, performed with cells from different donors . Where error bars are not shown, the error values fall within the symbols.

**3) *M. tuberculosis* sonicate induces expression of CD30 on normal peripheral blood mononuclear cells; time course (Fig 3)**

The expression of CD30 on lymphocytes cultured in the presence of MtbS was studied at intervals over a period of nine days. Experiments consistently showed that almost all (>98%) of the CD30+ cells in the lymphogate were T cells (CD3+). CD30 expression peaked at 7 days.

10

Figure 3. Kinetics of CD30 expression in MtbS-stimulated cultures. NAC were harvested at various time-points for immunostaining and lymphogated cells were analysed by flow cytometry. Mean results and 2 SD of triplicate experiments performed using cells from one donor are shown, and are representative of three separate experiments performed using cells from different donors. Where error bars are not seen, the error values fall within the symbols.

15



**4) *M. tuberculosis* sonicate-induced expression of CD30 on normal peripheral blood mononuclear cells; comparison of *M. tuberculosis* with other stimuli (Fig 4)**

The effect of MtbS in inducing CD30 expression was compared with that of MvacS and phytohaemagglutinin (PHA). The effect of PHA was tested, because it was of interest to know whether the CD30 expression was MtbS-specific or merely the result of non-specific T cell activation. PHA-induced CD30 expression in lymphocyte-gated cells rose earlier than that in MtbS-treated cells but peaked at 5% on Day 5, reaching a plateau thereafter. MvacS-treated cells reached similar levels on Day 7, but MtbS-treated cells expressed significantly higher levels of CD30 at the peak on Day 7 (figure 4), with a mean of 12% in four donors, as compared to 5.1% in MvacS-treated cells.

15

Figure4. CD30 expression in response to different stimulation conditions. PBMCs were cultured in the presence of media alone, phytohaemagglutinin (PHA), MvacS or MtbS as previously described, and CD30 expression on NAC determined at various time-points. Although PHA induced CD30 expression earlier, the highest levels of CD30 expression during the period of observation were induced by MtbS ( $p < 0.002$  on Day 7 in comparison to all other antigens). The figure shows means and 2 SD of triplicate assays from one representative experiment out of two performed using cells from different donors.

**5) Effect of neutralising antibody to IL-4 on induction of CD30 expression by *M. tuberculosis* sonicate (Fig 5)**

- 5 CD30 expression can be induced via CD28 as well as by IL-4. Therefore in order to prove that the CD30 expression seen in cultures containing *M. tuberculosis* sonicate is at least partly driven by IL-4, experiments were performed in which either 10 µg/ml anti-human IL-4 antibody or an isotype control antibody was included in the culture medium in some wells and their effects on CD30 expression investigated. The optimal
- 10 concentration of anti-IL-4 was derived by titration (figure 5A inset). CD30 expression was significantly and consistently reduced by anti-IL4 in MtbS-stimulated lymphocytes of four donors studied in separate experiments ( $p=0.024$  by paired t-test, figure 5 B). Thus, it was deduced that IL-4 significantly influenced CD30 expression on MtbS-stimulated cells..

Figure 5. Effect of inhibiting IL-4 on CD30 expression. PBMCs were cultured in the presence of culture medium alone, MvacS or MtbS as previously described. Either anti-IL4 (10  $\mu$ g/ml) or isotype control antibody was added to the cultures from Day 0, and CD30 expression on lymphogated cells was determined by flow cytometry. The appropriate concentration of anti-IL4 was determined by titration experiments performed on MtbS-stimulated cells (A inset).

A: One representative experiment out of four performed independently using cells from different donors is shown. Assays were performed in triplicate and presented as means and 2 SD. Statistics by t-test for independent samples.

B: Data from the four independent experiments are shown individually, each point representing the mean of triplicate assays based on cells from one donor. The difference in CD30 expression with and without anti-IL4 was significant in MtbS-stimulated lymphocytes ( $p=0.024$ ) but not in MvacS-stimulated lymphocytes ( $p=0.16$ ). Statistics by paired t-test ( $n=4$ ).

**6) Comparison of blocking IL-4 and with anti-IL-4, and blocking CD28 with CTLA-4/Fc chimera (Fig 6)**

5 To further demonstrate that at least part of the CD30 expression is driven by IL-4, the relative effects of inhibiting IL-4 activity and CD28 signalling were next considered by performing further experiments with CTLA-4/Fc chimeric protein. CTLA-4 binds to CD80 and CD86 with 20-100-fold higher affinity than CD28, thus the chimeric protein acts as a competitive inhibitor of CD28 signalling.

10

The effect of blocking CD28 signalling was also a significant reduction in CD30 expression ( $p=0.0004$  by paired t-test on data from two independent experiments). However the effect of the anti-IL-4 was of comparable magnitude.

15 The results are shown in Fig 6 as flow cytometry dot plots.

20 Figure 6. Effects of IL-4 and CD28 on CD30 expression. Anti-IL4 (10  $\mu\text{g/ml}$ ), CTLA-4/Fc (100  $\mu\text{g/ml}$ ) or isotype control antibody were added from Day 0 to PBMCs cultured in the presence of MtbS, and CD30 expression determined on Day 7. The flow cytometry dot plots are gated on lymphocytes and numbers in each quadrant indicate the percentage of gated cells in that quadrant. The diagrams illustrate one

representative assay of triplicates, in one experiment of three performed independently using cells from different donors.

## SUMMARY

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In summary, the present invention relates to vaccines comprising Th2 inducing antigens as described herein.

10

The invention further relates to adjuvants comprising Th1 inducing entities, and to vaccines comprising same.

The invention also relates to methods for the selection of antigens for use in vaccines as described herein.

15

All publications mentioned in the above specification are herein incorporated by reference. Various modifications and variations of the described methods and system of the present invention will be apparent to those skilled in the art without departing from the scope and spirit of the present invention. Although the present invention has been described in connection with specific preferred embodiments, it should be understood that the invention as claimed should not be unduly limited to such specific

20

embodiments. Indeed, various modifications of the described modes for carrying out the invention which are obvious to those skilled in biochemistry and biotechnology or related fields are intended to be within the scope of the following claims.

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## CLAIMS

1. A vaccine composition comprising an antigen capable of inducing a T-helper cell 2 (Th2).
- 5 2. A vaccine composition comprising an antigen capable of inducing a Th2, and an adjuvant, wherein said adjuvant induces T-helper cell 1 (Th1).
3. Use of an adjuvant in a vaccine wherein said adjuvant is capable of inducing T-  
10 helper cell 1 (Th1).
4. Use of an adjuvant in a vaccine wherein said adjuvant is capable of inducing T-helper cell 1 (Th1); and wherein said adjuvant comprises IL-12.
- 15 5. Use of an adjuvant in a vaccine wherein said adjuvant is capable of inducing T-helper cell 1 (Th1); and wherein said adjuvant comprises *Mycobacterium vaccae*, or a part thereof.
6. A vaccine according to claim 2 wherein said adjuvant comprises IL-12.
- 20 7. A vaccine according to claim 2 wherein said adjuvant comprises *Mycobacterium vaccae*, or a part thereof.
8. A method of identifying an antigen for use in a vaccine for mycobacterial  
25 disease, said method comprising
  - (i) providing a candidate antigen;
  - (ii) providing a first and a second sample of peripheral blood mononuclear cells (PBMCs);
  - (iii) contacting said antigen with said first sample of PBMCs;
  - 30 (iv) monitoring the expression levels of IL-4 in said first and second samples of PBMCs; and
  - (v) comparing the expression levels of IL-4 of step (iv),



wherein antigens inducing raised expression of IL-4 in the first sample of PBMCs as compared to the levels of expression of IL-4 in the second (untreated) sample of PBMCs are identified as useful in said vaccine.

- 5 9. A method of identifying an antigen for use in a vaccine for mycobacterial disease, said method comprising
- (i) providing a candidate antigen;
  - (ii) providing a first and a second sample of peripheral blood mononuclear cells (PBMCs);

- 10 (iii) contacting said antigen with said first sample of PBMCs;
- (iv) monitoring the expression of CD30 in said first and second samples of PBMCs;
- and
- (v) comparing the expression levels of CD30 of step (iv),

- wherein antigens inducing raised expression of CD30 in the first sample of PBMCs as compared to the levels of expression of CD30 in the second (untreated) sample of PBMCs are identified as useful in said vaccine.
- 15

10. A method according to claim 9 wherein the expression levels of CD30 are IL-4 mediated expression levels.

20

11. A method according to any of claims 8 to 10 wherein the mycobacterial disease agent comprises *M.tuberculosis*.

12. An antigen identified by a method according to any of claims 8 to 11.

25

13. A vaccine comprising an antigen according to claim 12.

30

14. A pharmaceutical composition comprising an antigen as defined in any one of the preceding claims, and optionally a pharmaceutically acceptable carrier, diluent or excipient.

15. A method for immunising a subject comprising administering to a subject in need of same a vaccine according to any preceding claim.

1/6

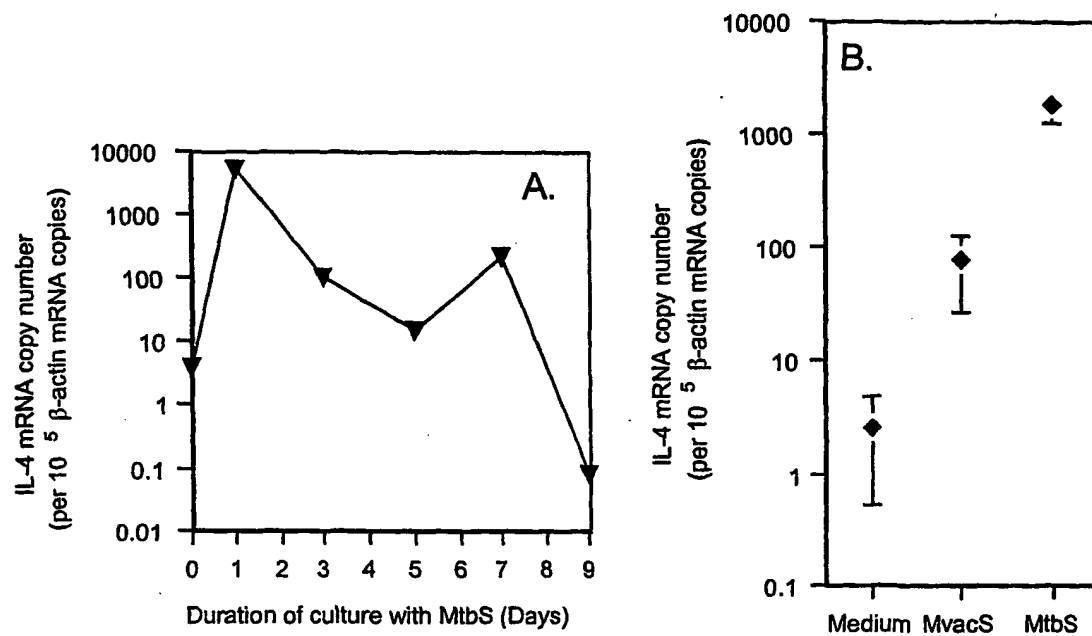


Figure 1

2/6

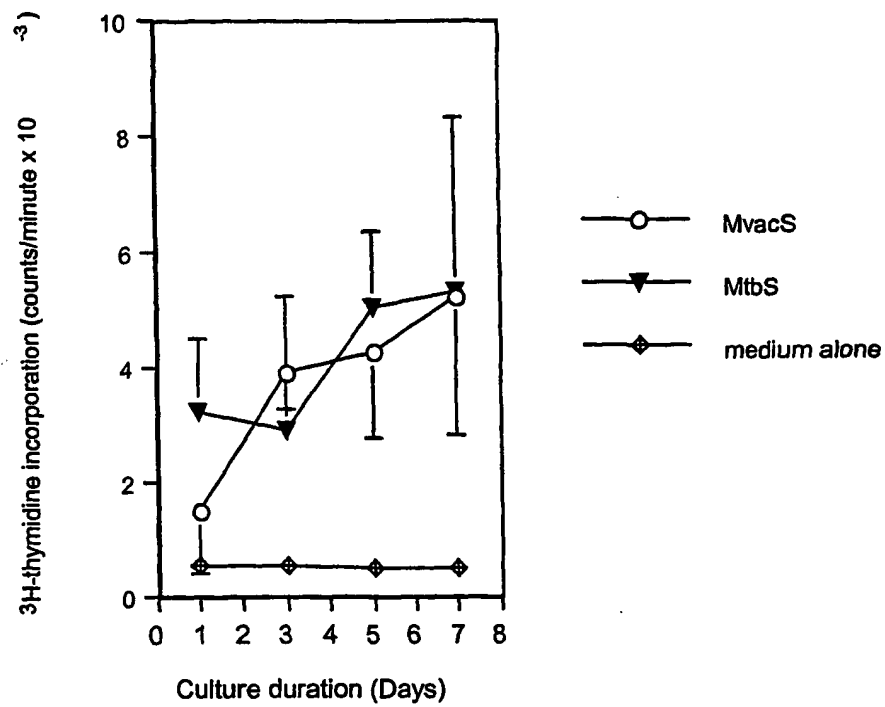


Figure 2

3/6.

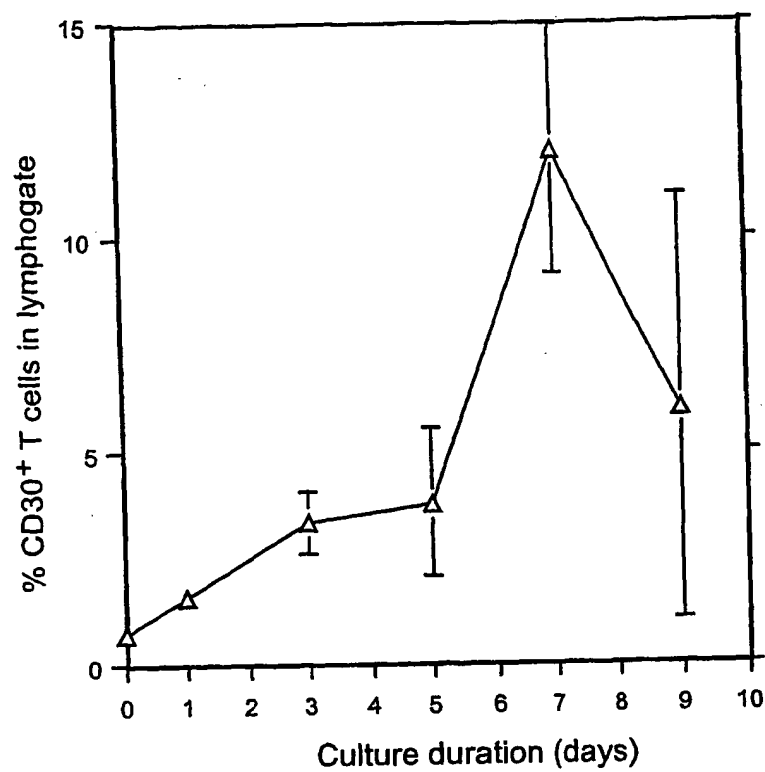


Figure 3

4/6

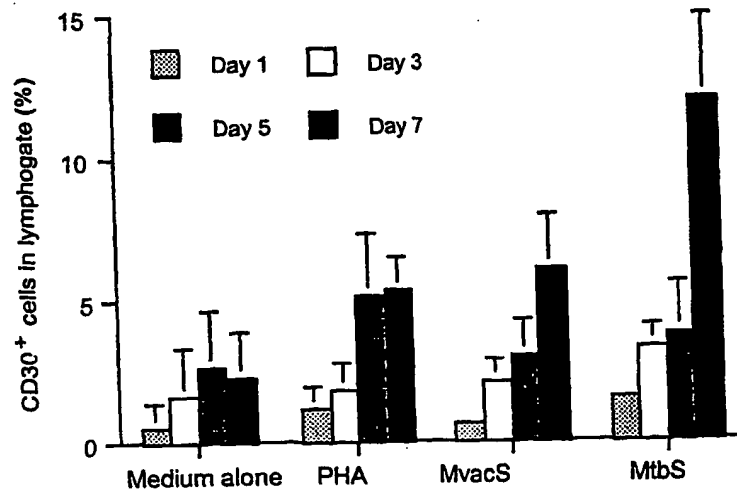
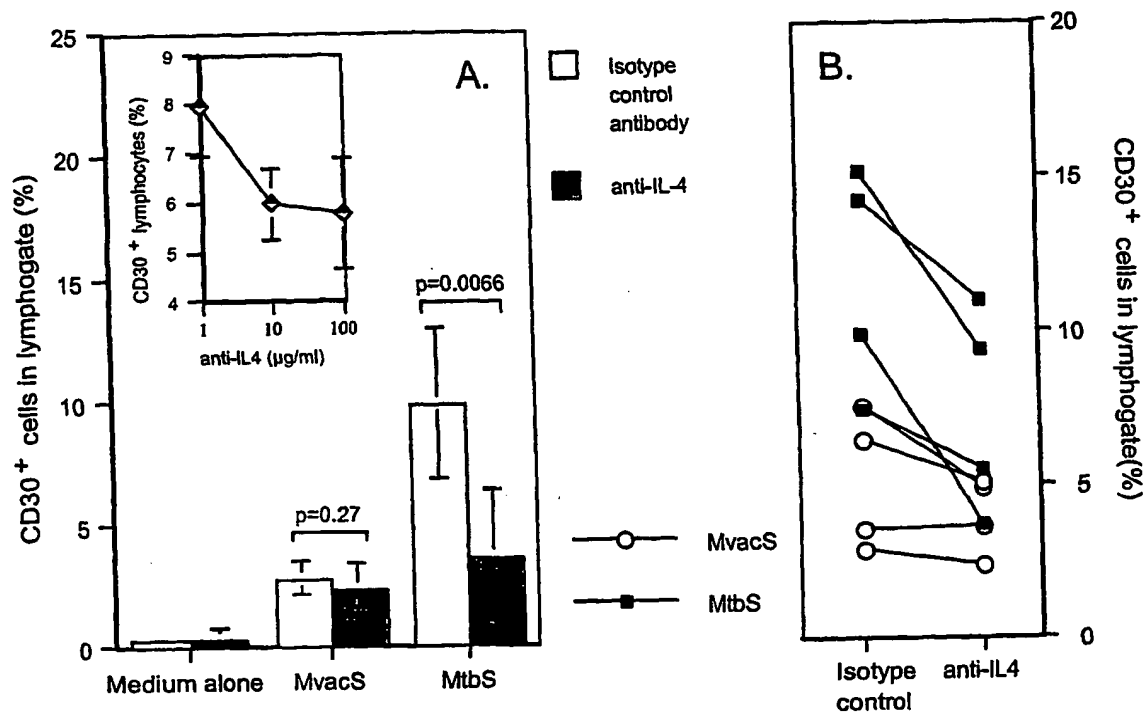


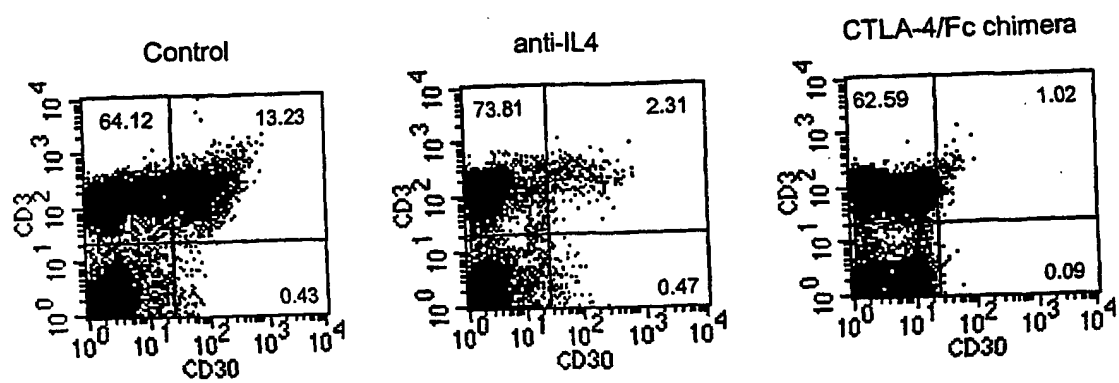
Figure4.

5/6



5 Figure 5

6/6



5 Figure 6



(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
25 April 2002 (25.04.2002)

PCT

(10) International Publication Number  
WO 02/032455 A3

(51) International Patent Classification<sup>7</sup>: A61K 39/39, C12Q 1/02, A61K 39/04, G01N 33/50

(21) International Application Number: PCT/GB01/04572

(22) International Filing Date: 15 October 2001 (15.10.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
0025694.1 19 October 2000 (19.10.2000) GB

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(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

(88) Date of publication of the international search report:  
18 September 2003

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: VACCINE

(57) Abstract: The present invention relates to vaccine compositions comprising a Th2 inducing antigen, and optionally an adjuvant, wherein said optional adjuvant induces T-helper cell 1 (Th1). The invention further relates to methods for selecting antigens for use

WO 02/032455 A3

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 01/04572

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61K39/39 C12Q1/02 A61K39/04 G01N33/50

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, MEDLINE, BIOSIS, PAJ, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
X	STANFORD J L: "IMPROVING ON BCG" APMIS, COPENHAGEN, DK, vol. 99, no. 2, 1991, pages 103-113, XP000616012 ISSN: 0903-4641 page 109, column 2, last paragraph -page 110, column 1, paragraph 1 page 111, column 1, last paragraph -column 2, paragraph 1 ---	1-3,5,7, 14,15
X	WO 97 10845 A (UNIV ILLINOIS ;GROVES MICHAEL J (US); KLEGERMAN MELVIN E (US)) 27 March 1997 (1997-03-27) abstract; claims 15,16 page 2, paragraph 3 example 9 ---	1-3,5,7, 14,15
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☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

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## INTERNATIONAL SEARCH REPORT

International Application No.

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 599 545 A (STANFORD JOHN L ET AL) 4 February 1997 (1997-02-04) the whole document ---	2,3,5,7, 14,15
X	SIEW L K ET AL: "Effect of T-helper cytokine environment on specificity of T-cell responses to mycobacterial 65,000 MW heat-shock protein." IMMUNOLOGY. ENGLAND APR 1998, vol. 93, no. 4, April 1998 (1998-04), pages 493-497, XP001070394 ISSN: 0019-2805 the whole document ---	1-4,6, 14,15
X	US 5 723 127 A (SCOTT PHILLIP ET AL) 3 March 1998 (1998-03-03) the whole document ---	2-4,6, 14,15
X	SANDER B ET AL: "Sequential production of Th1 and Th2 cytokines in response to live bacillus Calmette-Guérin." IMMUNOLOGY. ENGLAND DEC 1995, vol. 86, no. 4, December 1995 (1995-12), pages 512-518, XP001073944 ISSN: 0019-2805 the whole document ---	8,11-13
X	MUNK MARTIN E ET AL: "Human CD30+ cells are induced by Mycobacterium tuberculosis and present in tuberculosis lesions." INTERNATIONAL IMMUNOLOGY, vol. 9, no. 5, 1997, pages 713-720, XP002202326 ISSN: 0953-8178 the whole document -----	9

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/GB 01/04572

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
  
Although claim 15 is directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☒ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
  
see FURTHER INFORMATION sheet PCT/ISA/210

3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210

## Continuation of Box I.2

Present claims 1,2,3,6,7 relate to vaccine compositions defined by reference to a desirable characteristic or property, namely vaccine comprising a T helper 2 inducing antigen (claim 1,2,6,7) and vaccine compositions comprising and use of a T helper 1 inducing adjuvant (claims 2, 3)

The claims cover all antigens and adjuvants having this characteristic or property, whereas the application provides support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT for only a very limited number of such vaccines. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Independent of the above reasoning, the claims also lack clarity (Article 6 PCT). An attempt is made to define the products by reference to a result to be achieved. Again, this lack of clarity in the present case is such as to render a meaningful search over the whole of the claimed scope impossible. Consequently, the search has been carried out for those parts of the claims which appear to be clear, supported and disclosed, namely those parts relating to the vaccine compositions comprising Th 2 inducing Mycobacterium antigens (see description p1 line 29-30 and examples) and vaccine compositions comprising IL-12 or Mycobacterium vaccae as Th 1 inducing adjuvants (p 16, 15-17).

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

# INTERNATIONAL SEARCH REPORT

Application No

PCT/GB 01/04572

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9710845	A	27-03-1997	CA 2232501 A1 WO 9710845 A1	27-03-1997 27-03-1997
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